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PATENT
Docket No. 399632001920

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

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Assistant Commissioner for Patents, Washington, D.C. 20231, on November 5, 2002.

Adriane Giberson
Adriane Giberson

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Alessandro SETTE, et al.

Serial No.: 09/189,702

Filing Date: 10 November 1998

For: HLA BINDING PEPTIDES AND THEIR
USES

Examiner: Ronald B. Schwadron, Ph.D.

Group Art Unit: 1644

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NOTICE OF CHANGE OF SMALL ENTITY STATUS

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In accordance with 37 C.F.R. § 1.28(b), notice is hereby given that the above-captioned application is no longer entitled to Small Entity Status and that the claim for small entity status in the present application is hereby withdrawn. This notification is made prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which the status was no longer appropriate.

Dated: November 5, 2002

Respectfully submitted,

By: 

Bruce D. Grant
Registration No. 47,608

Morrison & Foerster LLP
3811 Valley Centre Drive
Suite 500
San Diego, CA 92130
Telephone: (858) 720-7962
Facsimile: (858) 720-5125

plus sign (+) inside this box → ☒Approved for use through 10/31/02. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

13

Application Number 09/189,702

Filing Date 10 November 1998

First Named Inventor Alessandro SETTE, et al.

Group Art Unit 1644

Examiner Name Ronald B. Schwadron, Ph.D.

Attorney Docket No. 399632001920

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ENCLOSURES (check all that apply)☒ Fee Transmittal Form (1 orig + 1 dup)☐ Fee Attached☐ Amendment / Reply☐ After Final☐ Affidavits/declarations☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/
Incomplete Application☐ Response to Missing Parts
under 37 CFR 1.52 or 1.53☐ Assignment Papers
(for an Application)☐ Drawing(s)☐ Licensing-related Papers☒ Petition to Correct Inventorship
Pursuant to 37 C.F.R. § 1.48 (2
pages)☐ Petition to Convert to a
Provisional Application☐ Power of Attorney, Revocation
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) _____☐ After Allowance Communication to
Group☐ Appeal Communication to Board of
Appeals and Interferences☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please identify
below):☐ Notice of Change of Small Entity
Status (2 pages)☐ Consent of Assignee under 37 C.F.R.
§ 3.73(b) to Correct Inventorship (1
page)☐ Declaration of Estaban Cells (2 pages)☐ Executed Declaration (4 pages)☐ Return Receipt Postcard

Remarks



25225

PATENT, TRADEMARK OFFICE

SIGNATURE OF APPLICANT, ATTORNEY OR AGENTFirm or Individual Name Bruce D. Grant Reg. No. 47,608
Morrison & Foerster LLP
3811 Valley Centre Drive, Suite 500, San Diego, California 92130

Signature

Date November 5, 2002

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Adriane Giberson
Adriane Giberson

Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

sd-117856

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FEE TRANSMITTAL
FOR FY 2002

Patent fees are subject to annual revision.

Complete if Known

Application Number 09/189,702
 Filing Date 10 November 1998
 First Named Inventor Alessandro SETTE, et al.
 Examiner Name Ronald B. Schwadron, Ph.D.
 Group Art Unit 1644
 Attorney Docket No. 399632001200

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TOTAL AMOUNT OF PAYMENT

(\$) 130.00

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 03-1952

Deposit Account Name Morrison & Foerster LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

- ☐ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$) 0.00

2. EXTRA CLAIM FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claims, if not paid	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$) 0.00

** or number previously paid, if greater; For reissues, see above.

FEE CALCULATION (continued)

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions of the Commissioner	130
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Sheet	
581	40	581	40	Recording each patent assignment per properties (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
				Publication Fee	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 130.00

SUBMITTED BY

Name (Print/Type) Bruce D. Grant

Registration No. (Attorney/Agent)

47,608

Complete (if applicable)

Telephone (858) 720-7962

Signature

Date

November 5, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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sd-117858